

PIMCO Funds & Allianz Funds Application



IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

All fields in bold must be completed in order to open an account. Failure to do so may delay or prevent an account from being opened.

1. Your Account Registration

- Individual
- Joint Registration must give both names (A + B)

A _____
 First Name Middle Initial Last Name

 Soc. Sec. No. or Govt. Issued ID Date of Birth U.S. Citizen Other _____

B _____
 First Name Middle Initial Last Name

 Soc. Sec. No. or Govt. Issued ID Date of Birth U.S. Citizen Other _____

Joint tenancy with rights of survivorship will be presumed unless otherwise specified.

- Uniform Gift/Transfer to Minors

A _____ for
 Custodian's Name (only one) Soc. Sec. No. or Govt. Issued ID Date of Birth
 _____ under
 Minor's Name (only one)
 Uniform Gift/Transfer to Minor's Act of _____
 State Minor's Soc. Sec. No. Minor's Date of Birth

2a. Mailing Address, Telephone Number and Employer

A _____ () _____
 Street Address (If you provide a P.O. Box, you must fill out Physical Address below) Apt. No. Daytime Telephone

City State Zip Code

B _____ () _____
 Street Address (If you provide a P.O. Box, you must fill out Physical Address below) Apt. No. Daytime Telephone

City State Zip Code

2b. Physical Address (Required if different from above)

A _____ () _____
 Street Address Apt. No. Additional Telephone (optional)

City State Zip Code

3. Dealer Information

(Please be sure to complete Representative last name, first name and middle initial.)

- I/we do not have a financial advisor. By marking this box, I/we are certifying that there is no financial advisor associated with this account and that I/we take full responsibility for all investment selections made.

Dealer Name Representative's Last Name First Name Middle Initial

Dealer Home Office Address: _____ Rep I.D. Number
 Representative's Branch Office Information: _____
 Branch Number

Address Address

City State Zip Code City State Zip Code

() ()

Telephone Number Telephone Number

4. Your Investment Select the Class of shares you are purchasing and indicate the amount to be invested per Fund. (See “How to Buy Shares” in the prospectus.)

- Class A shares (Initial sales charge)
 Class C shares (Asset-based sales charge)

Indicate if you have a Special Agreement with: _____ for Wrap Account RIA Account
 (This option available for Class A shares only.) (Firm)

The minimum initial investment is \$1,000 per fund (same minimum applies to UGMA and Auto-Invest accounts).

| PIMCO Fund Names | Class A | Class C | Other | Amount |
|--|--------------------------|--------------------------|--------------------------|--------|
| PIMCO All Asset | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO All Asset All Authority | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO California Intermediate Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO California Short Duration Municipal Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO CommodityRealReturn Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Developing Local Markets | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Diversified Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Emerging Local Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Emerging Markets Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Floating Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Foreign Bond (U.S. Dollar-Hedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Foreign Bond (Unhedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Fundamental Advantage Total Return Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Fundamental IndexPLUS™ TR | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Global Advantage Strategy Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Global Bond (U.S. Dollar-Hedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Global Multi-Asset | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO GNMA | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Government Money Market | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO High Yield | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO High Yield Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO International StocksPLUS® TR Strategy (U.S. Dollar-Hedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO International StocksPLUS® TR Strategy (Unhedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Investment Grade Corporate Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Long-Term U.S. Government | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Low Duration | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Money Market | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Mortgage-Backed Securities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO MuniGO | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO New York Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Real Income 2019* | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Real Income 2029* | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Real Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealEstateRealReturn Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2010 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2020 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2030 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2040 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2050 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Short Duration Municipal Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Short-Term | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Small Cap StocksPLUS® TR | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO StocksPLUS® | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO StocksPLUS® Total Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO StocksPLUS® TR Short Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Tax Managed Real Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Total Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Unconstrained Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Unconstrained Tax Managed Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Other | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |

*Please see Section 5 regarding Dividend and Capital Gain Distributions.

4. Your Investment

Select the Class of shares you are purchasing and indicate the amount to be invested per Fund. (See "How to Buy Shares" in the prospectus.)

- Class A shares (Initial sales charge)
 Class C shares (Asset-based sales charge)

Indicate if you have a Special Agreement with: _____ for Wrap Account RIA Account
(This option available for Class A shares only.) (Firm)

The minimum initial investment is \$1,000 per fund (same minimum applies to UGMA and Auto-Invest accounts).

| Allianz Fund Names | Class A | Class C | Other | Amount |
|--|--------------------------|--------------------------|--------------------------|--------|
| Allianz CCM Capital Appreciation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz CCM Focused Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz CCM Mid-Cap | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions Core Allocation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions Growth Allocation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions Retirement Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2015 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2020 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2030 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2040 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2050 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Emerging Markets Opportunities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Global | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Global Equity 130/30 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Income and Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM International | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM International Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Mid-Cap Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Pacific Rim | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ All-Cap Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Dividend Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Global Dividend Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ International Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Large-Cap Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Mid-Cap Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Renaissance | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz OCC Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz OCC Opportunity | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz OCC Target | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM All Horizons | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Disciplined Equity | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Disciplined International Equity | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global EcoTrends SM | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global Resources | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global Small-Cap | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global Water | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM International Opportunities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Large-Cap Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Mid-Cap | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Strategic Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Technology | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Wellness | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Other | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |

PROCEED TO SECTION 5

AZ024_27250

PIMCO Funds & Allianz Funds Application



IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

All fields in bold must be completed in order to open an account. Failure to do so may delay or prevent an account from being opened.

1. Your Account Registration

- Corporation*
 Partnership*
 Trust*

***A copy of the Corporate Resolution, Secretary's Certificate, Articles of Incorporation, Trust Documents, By-laws or Partnership Agreement, whichever is applicable, must accompany this application.**

Exact Name of Organization/Trustee

Exact Name of Trust

Date of Trust

For the Benefit of

Tax Identification No.

2a. Mailing Address, Telephone Number

Street Address (If you provide a P.O. Box, you must fill out Physical Address below) Apt. No. () Daytime Telephone
 City State Zip Code

2b. Physical Address

(Required if different from above)

Street Address Apt. No. () Daytime Telephone
 City State Zip Code

3. Dealer Information

(Please be sure to complete Representative last name, first name and middle initial.)

- I/we do not have a financial advisor. By marking this box, I/we are certifying that there is no financial advisor associated with this account and that I/we take full responsibility for all investment selections made.

| | | | |
|-----------------------------|----------------------------|-----------------|---|
| Dealer Name | Representative's Last Name | First Name | Middle Initial |
| Dealer Home Office Address: | | Rep I.D. Number | Representative's Branch Office Information: |
| Address | | Branch Number | |
| City | State | Zip Code | City |
| () | | | () |
| Telephone Number | Telephone Number | | |

4. Your Investment Select the Class of shares you are purchasing and indicate the amount to be invested per Fund. (See “How to Buy Shares” in the prospectus.)

- Class A shares (Initial sales charge)
 Class C shares (Asset-based sales charge)

Indicate if you have a Special Agreement with: _____ for Wrap Account RIA Account
 (This option available for Class A shares only.) (Firm)

The minimum initial investment is \$1,000 per fund (same minimum applies to UGMA and Auto-Invest accounts).

| PIMCO Fund Names | Class A | Class C | Other | Amount |
|--|--------------------------|--------------------------|--------------------------|--------|
| PIMCO All Asset | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO All Asset All Authority | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO California Intermediate Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO California Short Duration Municipal Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO CommodityRealReturn Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Developing Local Markets | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Diversified Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Emerging Local Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Emerging Markets Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Floating Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Foreign Bond (U.S. Dollar-Hedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Foreign Bond (Unhedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Fundamental Advantage Total Return Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Fundamental IndexPLUS™ TR | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Global Advantage Strategy Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Global Bond (U.S. Dollar-Hedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Global Multi-Asset | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO GNMA | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Government Money Market | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO High Yield | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO High Yield Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO International StocksPLUS® TR Strategy (U.S. Dollar-Hedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO International StocksPLUS® TR Strategy (Unhedged) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Investment Grade Corporate Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Long-Term U.S. Government | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Low Duration | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Money Market | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Mortgage-Backed Securities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO MuniGO | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO New York Municipal Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Real Income 2019* | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Real Income 2029* | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Real Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealEstateRealReturn Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2010 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2020 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2030 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2040 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO RealRetirement 2050 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Short Duration Municipal Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Short-Term | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Small Cap StocksPLUS® TR | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO StocksPLUS® | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO StocksPLUS® Total Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO StocksPLUS® TR Short Strategy | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Tax Managed Real Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Total Return | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Unconstrained Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| PIMCO Unconstrained Tax Managed Bond | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Other | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |

*Please see Section 5 regarding Dividend and Capital Gain Distributions.

4. Your Investment Select the Class of shares you are purchasing and indicate the amount to be invested per Fund. (See “How to Buy Shares” in the prospectus.)

- Class A shares (Initial sales charge)
- Class C shares (Asset-based sales charge)

Indicate if you have a Special Agreement with: _____ for Wrap Account RIA Account
(This option available for Class A shares only.) (Firm)

The minimum initial investment is \$1,000 per fund (same minimum applies to UGMA and Auto-Invest accounts).

| Allianz Fund Names | Class A | Class C | Other | Amount |
|--|--------------------------|--------------------------|--------------------------|--------|
| Allianz CCM Capital Appreciation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz CCM Focused Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz CCM Mid-Cap | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions Core Allocation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions Growth Allocation | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions Retirement Income | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2015 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2020 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2030 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2040 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz Global Investors Solutions 2050 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Emerging Markets Opportunities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Global | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Global Equity 130/30 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Income and Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM International | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM International Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Mid-Cap Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NACM Pacific Rim | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ All-Cap Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Dividend Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Global Dividend Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ International Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Large-Cap Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Mid-Cap Value | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz NFJ Renaissance | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz OCC Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz OCC Opportunity | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz OCC Target | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM All Horizons | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Disciplined Equity | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Disciplined International Equity | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global EcoTrends SM | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global Resources | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global Small-Cap | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Global Water | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM International Opportunities | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Large-Cap Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Mid-Cap | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Strategic Growth | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Technology | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Allianz RCM Wellness | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |
| Other | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$ |

5. Dividend and Capital Gain Distributions

Choose the way you want your dividend and capital gain distributions paid. Check one box for dividends, one box for capital gains*. If not specified, dividends will be reinvested in the Fund that pays them.

- Reinvest in the same Fund that pays them. Dividends Capital Gains
- Reinvest in the following Fund: Dividends Capital Gains
(Into selected accounts only.) Fund Name Account No.
- Deposit in my bank account through Fund Link. (Complete Section 9.) Dividends Capital Gains
- Paid by check to registration address. Dividends Capital Gains
- Paid by check to third party below: Dividends Capital Gains

Third Party Name _____ Address _____

*I understand the PIMCO Real Income series of funds will pay both income and capital gain distributions in CASH unless I choose one of the reinvestment options above.

6. Telephone Exchanges/Redemptions

Please accept or decline the right for to transact certain transactions via phone on behalf of this account:

Exchanges: Accept Decline Redemptions Accept Decline

Please note that if you do not make an election, your account will automatically be coded to allow telephone exchange and redemption privileges mentioned above. Allianz Global Investors Distributors LLC may accept telephone instructions from any person identifying himself/herself as the owner of an account or the owner's dealer representative provided that Allianz Global Investors Distributors LLC follows reasonable procedures and believes the instruction to be genuine, and thus you risk possible losses in the event of a telephone request not authorized by you. Shares in each class of a Fund may be exchanged for shares of the same class in a different Fund.

7. Automatic Withdrawal Plan

(Minimum account balance \$10,000.)

I/We hereby request that you establish a Withdrawal Plan by which redemptions will be made:

Monthly Quarterly Designate the name of the Fund(s) and the amount:

on _____ from _____ for \$ _____
Day of Month Fund(s) Amount (\$100 minimum)

I/We would like withdrawals to begin: _____
Day, Month, Year

(Checks will be mailed on or about the selected day of each month or quarter.)

Please send the Automatic Withdrawal Plan proceeds to (check one):

- Account registration address Bank account (must complete section 10).
- Third-party payee as follows:

Name _____

Address _____ City _____ State _____ Zip Code _____

Withdrawals will be sent to account registration address, or to the bank account in Section 8c on next page, unless otherwise specified. If you are authorizing redemption proceeds to be sent to the bank account, those proceeds will normally post to your bank account (up to) 3 days after the date you have selected to have your redemptions processed. This plan is subject to the terms of the Prospectus. Allianz Global Investors Distributors LLC is hereby authorized to redeem shares from this account in accordance with the instructions listed above.

8. Auto-Invest

Authorization to honor checks or ACH debits for automatic investment in the Funds.

You must also complete Section 10 of this application.

I/We hereby request to automatically invest by check, in the amounts listed below, on or about the _____ of each month quarter, in my/our account in the Fund(s) marked below. Day of Month

Designate the name of the Fund/s and the amount (\$50 minimum for each fund selected).

| | | | |
|--------------------------|----------------------------------|----------------------------------|-----------------------------------|
| _____ | <input type="checkbox"/> Class A | <input type="checkbox"/> Class C | \$ _____ |
| <small>Fund Name</small> | | | <small>Amount to Purchase</small> |
| _____ | <input type="checkbox"/> Class A | <input type="checkbox"/> Class C | \$ _____ |
| <small>Fund Name</small> | | | <small>Amount to Purchase</small> |
| _____ | <input type="checkbox"/> Class A | <input type="checkbox"/> Class C | \$ _____ |
| <small>Fund Name</small> | | | <small>Amount to Purchase</small> |

Automatic investments are subject to the following conditions:

1. Your bank account will be charged on or about the date of each investment as shown above.
2. The privilege of making investments by Auto-Invest may be revoked by Allianz Global Investors Distributors LLC without prior notice if any check is not paid upon presentation. Allianz Global Investors Distributors LLC shall be under no obligation to notify the undersigned as to the non-payment of any check.
3. Auto-Invest may be discontinued by Allianz Global Investors Distributors LLC upon thirty (30) days written notice prior to any investment date or by the undersigned at any time by written notice to Allianz Global Investors Distributors LLC, provided such notice is received at least ten (10) business days prior to the due date of any investment.

9. Fund Link Options

A service which "links" your fund account with your bank account to conduct a variety of transactions over the phone.

Check all options you want on your account.

You must also complete Section 10 of this application.

| | |
|-----------|-----------|
| Fund Name | Fund Name |
| Fund Name | Fund Name |

Purchase Payments and Redemptions

Purchase payments and redemptions will be debited or credited directly to the bank account listed in Section 10 upon written or oral authorization.

Your dealer representative is automatically authorized to exercise Fund Link privileges unless you check below.

Check any transaction you **do not** want your dealer to conduct by Fund Link:

- Fund Redemptions via Fund Link
- Fund Purchases via Fund Link

Distributions

Dividend and/or capital gain distributions will be sent to the bank account listed in Section 10 as marked below.

- Dividends
- Capital Gains

10. Bank Account Information

Please provide information on the bank you would like to link your PIMCO Funds and/or Allianz Funds account to.

Type of Account (Select one):

- Checking Account (Please attach a pre-printed voided check.)
- Savings Account (Please attach a pre-printed personalized deposit slip with your account number encoded on it.)

| | | | | | |
|---------------------|---|-----------|--|----------|--------------|
| Bank Account Number | Bank Account Name(s) (Print title of your bank account exactly as it appears on your bank's records.) | | | | |
| Bank Name | Bank Address | City | State | Zip Code | Phone Number |
| X Signature | | X Date | X Signature (if joint bank account, both must sign) | | Date |

Tape your voided check or deposit slip here.

John A. Sample
123 Same Street
Anywhere, USA 12345

Date _____

0000

Pay to the Order of: _____ \$ _____
DOLLARS

ANY BANK USA

Memo: _____

|: 000000000 |: 0000000000 | | 0000

11. Reduced Sales Charge

Choose one only.

(This option available for Class A shares only.)

Rights of Accumulation

I own shares in other PIMCO Funds and/or Allianz Funds which may entitle this purchase to have a reduced sales charge under the provisions in the Fund Prospectus. (See "Cumulative Quantity Discount" in the Prospectus.)

Existing Account Name

Account Number

Existing Account Name

Account Number

Letter of Intent

I agree to the Letter of Intent conditions stated in the current Prospectus. I intend to invest, within a 13-month period beginning on _____ (initial purchase date), in shares of the Fund(s) purchased with this application and one or more of the other Funds listed in Section 3 above, an aggregate amount which, together with the value of shares of any eligible Funds owned by me on the initial purchase date, will be at least equal to:

- \$50,000 \$100,000 \$250,000 \$500,000 \$1,000,000

If no date is specified, the initial purchase date will be the date of this purchase.

I am entitled to buy Class A Shares at NAV because (explain) _____

If you are a registered representative or full-time employee of a participating broker dealer, you must also complete a "PIMCO Funds & Allianz Funds NAV Certification For Financial Advisors" form. Please call (800) 628-1237 to obtain form.

12. Signature and Certification

I/We understand that my/our account will be automatically subject to certain telephone privileges if I/we do not check the appropriate box in Section 6 above and that Allianz Global Investors Distributors LLC shall not be liable for any loss incurred by me/us by reason of accepting unauthorized telephone requests for my/our account provided that Allianz Global Investors Distributors LLC follows reasonable procedures and believes the instructions to be genuine. The undersigned warrant(s) that I/we have full authority and, if a natural person, I/we am/are of legal age to purchase shares pursuant to this application, have received a current Prospectus and agree to be bound by all the terms, conditions and account features selected in any and all parts of this Application and the Prospectus. Further, I/we understand that I/we may choose between three share classes. I/we am/are aware of the fee structures for the three share classes and have selected the class best suited to my/our investment objectives. If I/we am/are requesting that a trust account be opened, I/we certify that (i) I/we am/are the Trustee(s) of said Trust (ii) I/we have provided a copy of the trust agreement [as amended]; and (iii) I/we certify that the trust is still in existence and none of the conditions for termination have occurred. Under the penalties of perjury, I certify that: (i) the number shown in Section 1 above is my correct Social Security/Taxpayer Identification Number or Government Issued ID number, or I have applied, or will apply, for such a number and will provide it within sixty (60) days after signing this application [if I don't supply such a number within sixty (60) days, I am subject to withholding tax] and (ii) I am not subject to backup withholding because the IRS (a) has not notified me that I am subject to backup withholding as a result of failure to report all interest or dividends, or (b) has rescinded a previously imposed backup withholding requirement. I am aware that if the Social Security/Tax Identification Number or Government Issued ID number I have provided is incorrect, I am subject to backup withholding, and (iii) I am a U.S. Person (including a U.S. Resident Alien).

If you are subject to backup withholding, please cross out number (ii) above.

Sign exactly as the account is to be registered:

Individual Signature _____ Date _____ Signature of Corporate Officer, Trustee, etc. (if applicable) _____ Date _____

Joint Registrant Signature (if any) _____ Date _____ Title of Officer, Trustee, etc. _____

Mailing Instructions

Allianz Global Investors Distributors LLC reserves the right to require payment by wire or U.S. Bank Check. Allianz Global Investors Distributors LLC generally does not accept payments made by cash, temporary/starter checks, third party checks, credit cards, traveler's checks, credit card checks, money orders, or checks drawn on non-U.S. banks (even if payment may be effected through a U.S. bank).

Please make checks payable to Allianz Global Investors and mail your check with this Application to:

| | |
|--|--|
| <p>Direct Mail: Allianz Global Investors Distributors LLC P.O. Box 8050 Boston, MA 02266-8050</p> | <p>Overnight Mail: Allianz Global Investors Distributors LLC c/o Boston Financial Data Services, Inc. 30 Dan Road Canton, MA 02021-2809</p> |
|--|--|

PIMCO FUNDS & ALLIANZ FUNDS INVESTOR INFORMATION

Below is a copy of a general Breakpoint Disclosure Statement discussing various matters an investor should consider when investing in mutual funds. Please read it carefully. For specific information on these matters with respect to the PIMCO Funds & Allianz Funds: (i) contact your financial advisor, (ii) visit our Web site at www.allianzinvestors.com to obtain a copy of the current Shareholders Guide, or (iii) call Allianz Global Investors Distributors at 1-888-877-4626.

BREAKPOINT DISCLOSURE STATEMENT

Before investing in mutual funds, it is important that you understand the sales charges, expenses, and management fees that you will be charged, as well as the breakpoint discounts to which you may be entitled. Understanding these charges and breakpoint discounts will assist you in identifying the best investment for your particular needs and may help you reduce the cost of your investment. This disclosure document will give you general background information about these charges and discounts. Sales charges, expenses, management fees, and breakpoint discounts, however, vary from mutual fund to mutual fund. Therefore, you should discuss these issues with your financial advisor and review each mutual fund's prospectus and statement of additional information, which are available from your financial advisor, to get the specific information regarding the charges and breakpoint discounts associated with a particular mutual fund.

Sales Charges

Investors that purchase mutual funds must make certain choices, including which funds to purchase and which class share is most advantageous. Each mutual fund has a specified investment strategy. You need to consider whether the mutual fund's investment strategy is compatible with your investment objectives. Additionally, most mutual funds offer different share classes. Although each share class represents a similar interest in the mutual fund's portfolio, the mutual fund will charge you different fees and expenses depending upon your choice of share class. As a general rule, Class A shares carry a "front-end" sales charge or "load" that is deducted from your investment at the time you buy fund shares. This sales charge is a percentage of your total purchase. As explained below, many mutual funds offer volume discounts to the front-end sales charge assessed on Class A shares at certain pre-determined levels of investment, which are called "breakpoint discounts."

In contrast C shares usually do not carry any front-end sales charges. Instead, investors that purchase C shares pay asset-based sales charges, which may be higher than the charges associated with Class A shares. Investors that purchase C shares may also be required to pay a sales charge known as a Contingent Deferred Sales Charge when they sell their shares, depending upon the rules of the particular mutual fund.

Breakpoint Discounts

Most mutual funds offer investors a variety of ways to qualify for breakpoint discounts on the sales charge associated with the purchase of Class A shares. In general, most mutual funds provide breakpoint discounts to investors who make large purchases at one time. The extent of the discount depends upon the size of the purchase. Generally, as the amount of the purchase increases, the percentage used to determine the sales load decreases. In fact, the entire sales charge may be waived for investors that make very large purchases of Class A shares. Mutual fund prospectuses contain tables that illustrate the available breakpoint discounts and the investment levels at which breakpoint discounts apply. Additionally, most mutual funds allow investors to qualify for breakpoint discounts based upon current holdings from prior purchases through "Rights of Accumulation," and future purchases, based upon "Letters of Intent." This document provides general information regarding Rights of Accumulation and Letters of Intent. However, mutual funds have different rules regarding the availability of Rights of Accumulation and Letters of Intent. Therefore, you should discuss these issues with your financial advisor and review the mutual fund prospectus to determine the specific terms upon which a mutual fund offers Rights of Accumulation or Letters of Intent.

Rights of Accumulation—Many mutual funds allow investors to count the value of previous purchases of the same fund, or another fund within the same fund family, with the value of the current purchase, to qualify for breakpoint discounts. Moreover, mutual funds allow investors to count existing holdings in multiple accounts, such as IRAs or accounts at other broker-dealers, to qualify for breakpoint discounts. **Therefore, if you have accounts at other broker-dealers and wish to take advantage of the balances in these accounts to qualify for a breakpoint discount, you must advise your financial advisor about those balances.** You may need to provide documentation establishing the holdings in those other accounts to your financial advisor if you wish to rely upon balances in accounts at another firm.

In addition, many mutual funds allow investors to count the value of holdings in accounts of certain related parties, such as spouses or children, to qualify for breakpoint discounts. Each mutual fund has different rules that govern when relatives may rely upon each other's holdings to qualify for breakpoint discounts. You should consult with your financial advisor or review the mutual fund's prospectus or statement of additional information to determine what these rules are for the fund family in which you are investing. If you wish to rely upon the holdings of related parties to qualify for a breakpoint discount, you should advise your financial advisor about these accounts. You may need to provide documentation to your financial advisor if you wish to rely upon balances in accounts at another firm.

Mutual funds also follow different rules to determine the value of existing holdings. Some funds use the current net asset value (NAV) of existing investments in determining whether an investor qualifies for a breakpoint discount. A small number of funds, however, use the historical cost, which is the cost of the initial purchase, to determine eligibility for breakpoint discounts. If the mutual fund uses historical costs, you may need to provide account records, such as confirmation statements or monthly statements, to qualify for a breakpoint discount based upon previous purchases. You should consult with your financial advisor and review the mutual fund's prospectus to determine whether the mutual fund uses either NAV or historical costs to determine breakpoint eligibility.

Letters of Intent—Most mutual funds allow investors to qualify for breakpoint discounts by signing a Letter of Intent, which commits the investor to purchasing a specified amount of Class A shares within a defined period of time, usually 13 months. For example, if an investor plans to purchase \$50,000 worth of Class A shares over a period of 13 months, but each individual purchase would not qualify for a breakpoint discount, the investor could sign a Letter of Intent at the time of the first purchase and receive the breakpoint discount associated with \$50,000 investments on the first and all subsequent purchases. Additionally, some funds offer retroactive Letters of Intent that allow investors to rely upon purchases in the recent past to qualify for a breakpoint discount. If an investor fails to invest the amount required by the Letter of Intent, however, the fund is entitled to retroactively deduct the correct sales charges based upon the amount that the investor actually invested. If you intend to make several purchases within a 13 month period, you should consult your financial advisor and the mutual fund prospectus to determine if it would be beneficial for you to sign a Letter of Intent.

As you can see, understanding the availability of breakpoint discounts is important because it may allow you to purchase Class A shares at a lower price. The availability of breakpoint discounts may save you money and may also affect your decision regarding the appropriate share class in which to invest. Therefore, you should discuss the availability of breakpoint discounts with your financial advisor and carefully review the mutual fund prospectus and its statement of additional information, which you can get from your financial advisor, when choosing among the share classes offered by a mutual fund. If you wish to learn more about mutual fund share classes or mutual fund breakpoints, you may wish to review the investor alerts available on the NASD Web site. See www.nasdr.com/alert_mfclasses.htm and www.nasdr.com/alert_breakpoints.htm, or visit the many mutual fund Web sites available to the public.

PRIVACY POLICY

Allianz Global Investors Distributors LLC
Allianz Funds
PIMCO Funds

We consider customer privacy to be a fundamental aspect of our relationships with clients and are committed to maintaining the confidentiality, integrity and security of our current, prospective and former clients' personal information. To ensure our clients' privacy, we have developed policies that are designed to protect this confidentiality, while allowing client needs to be served.

Obtaining Personal Information: In the course of providing clients with products and services, we may obtain non-public personal information about clients, which may come from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from client transactions, from a client's brokerage or financial advisory firm, financial adviser or consultant, and/or from information captured on our Internet Web sites.

Respecting Your Privacy: As a matter of policy, we do not disclose any personal or account information provided by clients or gathered by us to non-affiliated third parties, except as required or permitted by law or as necessary for such third parties to perform their agreements with us. As is common in the industry, non-affiliated companies may from time to time be used to provide certain services, such as preparing and mailing prospectuses, reports, account statements and other information, conducting research on client satisfaction and gathering shareholder proxies. We may also retain non-affiliated companies to market our products and enter into joint-marketing agreements with other companies. These companies may have access to a client's personal and account information, but are permitted to use this information solely to provide the specific service or as otherwise permitted by law. In most cases, our clients will be also clients of a third-party, but we may also provide a client's personal and account information to the client's respective brokerage or financial advisory firm.

Sharing Information with Third Parties: We reserve the right to disclose or report personal information to non-affiliated third parties, in limited circumstances, where we believe in good faith that disclosure is required under law to cooperate with regulators or law enforcement authorities, to protect our rights or property or upon reasonable request by any mutual fund in which a client has chosen to invest. In addition, we may disclose information about a client's accounts to a non-affiliated third-party with the consent of the client.

Sharing Information with Affiliates: We may share client information with our affiliates in connection with servicing a client's accounts or to provide clients with information about products and services that we believe may be of interest to them. The information that we share may include, for example, a client's participation in one of our mutual funds or in other investment programs, a client's ownership of certain types of accounts (such as IRAs), or other data about a client's accounts. Our affiliates, in turn, are not permitted to share client information with non-affiliated entities, except as required or permitted by law.

Procedures to Safeguard Private Information: We take seriously the obligation to safeguard client non-public personal information. In addition to this policy, we have also implemented procedures that are designed to restrict access to a client's non-public personal information only to internal personnel who need to know that information in order to provide products or services to such client. In order to guard a client's non-public personal information, physical, electronic and procedural safeguards are in place.

