

# PIMCO Funds & Allianz Funds Roth IRA Conversion Form

## IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

Instructions: Complete the form below to convert your existing PIMCO Funds and Allianz Funds IRA to a PIMCO Funds & Allianz Funds Roth IRA. Mail completed forms to:

**Allianz Global Investors Distributors LLC**  
P.O. Box 8050  
Boston, MA 02266-8050

### A. Account Owner Information

\_\_\_\_\_ | | | | - | | | - | | | | |  
\*First Name Middle Initial \*Last Name \*Social Security Number  
  
\_\_\_\_\_  
\*Street Address (P.O. Boxes are not acceptable) \*Date of Birth ( ) Daytime Telephone

### \*Required Info

\_\_\_\_\_  
\*City \*State \*Zip  
  
\_\_\_\_\_  
\*Mailing Address (If different from above)  
  
 U.S. Citizen  
 Other \_\_\_\_\_

### B. PIMCO Funds & Allianz Funds Accounts

Please list all current PIMCO Funds & Allianz Funds IRA accounts to be converted into a PIMCO Funds & Allianz Funds Roth IRA.

\_\_\_\_\_  
Fund Name/Account # Fund Name/Account #  
  
\_\_\_\_\_  
Fund Name/Account # Fund Name/Account #  
  
\_\_\_\_\_  
Fund Name/Account # Fund Name/Account #

### C. Account Options

All account options **which are currently established on your PIMCO Funds & Allianz Funds IRA** (including telephone privileges) will be transferred to your new PIMCO Funds & Allianz Funds Roth IRA, except for any Automatic Withdrawal plans. Withdrawals are generally not allowed on PIMCO Funds & Allianz Funds Roth IRAs until five (5) years after the initial contribution.

For questions concerning your current account options, please call Allianz Global Investors, at **1-800-426-0107**.

### D. Tax Withholding

I have reviewed the below "Withholding Notice". I understand my withholding election or default from this form stays in effect for all future distributions (if applicable) until I change it in writing. If a CDSC applies, it will be charged to the account before the distribution is taken out and before tax withholding is applied to the distribution.

#### Federal Tax Withholding

I understand that 10% of my distribution will be withheld for Federal Income Tax unless I make a different election below. No tax will be withheld from a Roth IRA absent a specific instruction to withhold.

- I elect NOT to have federal income tax withheld.  
 Please withhold federal income tax at the following percentage: \_\_\_\_\_% (whole percentage only)

#### State Tax Withholding

Check one of the following boxes indicating whether you wish to have state income taxes withheld. State tax withholding may apply if you do not check a box below. Also, some states do not offer state tax withholding. Refer to the instructions for specific information concerning your state.

- I elect NOT to have state income tax withheld.  
 I elect to withhold state taxes at the rate of \_\_\_\_\_% (whole percentage only)

### Withholding Notice

Your most current election remains in effect until you change it in writing. You may change your current withholding election at any time by providing new written instructions to the custodian by submitting a new Distribution Form.

### Federal Tax Withholding

Distributions from your IRA are subject to federal income tax withholding in the amount of 10% unless you elect not to have withholding apply. Distributions from Roth IRA are not subject to income tax withholding unless you elect to have withholding apply.

### State Tax Withholding

If federal income tax withholding is applied to your distribution, your state may also require state income taxes to be withheld. Laws regarding tax withholding are subject to change at any time without notice. Please see your tax advisor for additional information. Depending on your state of residency, the following rules apply:

If your state of residence has:	Your options for state tax withholding are:
Mandatory State Withholding	If you elect federal tax withholding, state tax withholding will be applied, and will be calculated based on your state's applicable minimum requirements. You may also elect a specific percentage, however, your requested amount must be equal or greater than your state's minimum withholding requirement, otherwise we will apply your state's applicable minimum withholding requirement.
Non-Participatory State Withholding	You may not elect state tax withholding. State tax withholding is not available even if your state has income taxes
Voluntary State Withholding	You may elect voluntary state income tax withholding. You must provide a specific percentage to be applied for state tax withholding.

Regardless of whether you elect to have federal, and if applicable, state tax withholding apply, you are liable for the payment of federal income tax, any state or local taxes, and any penalties which may apply to your distribution. You also may be subject for tax penalties under the estimated tax payment rules if your estimated tax payments and withholding are not adequate. IRS Publication 505 should be reviewed, especially by new retirees.

### E. Signature

I have previously established a PIMCO Funds & Allianz Funds IRA. I have read a description of the PIMCO Funds & Allianz Funds Roth IRA and understand the conditions I must meet to convert my PIMCO Funds & Allianz Funds IRA to a PIMCO Funds & Allianz Funds Roth IRA, including the income limits which apply to eligibility for conversion.

I hereby elect to convert my PIMCO Funds & Allianz Funds IRA to a PIMCO Funds & Allianz Funds Roth IRA and I (i) certify that I am eligible to convert my PIMCO Funds & Allianz Funds IRA to a PIMCO Funds & Allianz Funds Roth IRA, (ii) understand that the terms of the PIMCO Funds & Allianz Funds IRA Custodial Account Agreement (except certain portions of Articles I and IV) will continue to apply to me, (iii) consent to the distribution and termination fees as they may be amended from time to time as are reflected in the Disclosure Statement and/or the prospectus(es) of the relevant PIMCO Funds & Allianz Funds mutual funds, (iv) agree to give such instructions to the Custodian promptly as necessary to enable the Custodian to carry out its duties under the Custodial Account Agreement, (v) represent that, whenever information as to any taxable year is required to be filed with the Internal Revenue Service by the Custodian unless filed by me, I will file such information with the Internal Revenue Service, (vi) affirm that my participation is completely voluntary, and (vii) confirm that I have received no endorsement of my eligibility for such conversion or of the investment vehicles available under this PIMCO Funds & Allianz Funds Roth IRA from the Custodian, Boston Financial Data Services, Inc. or Allianz Global Investors Distributors LLC. **I do understand that the conversion of my PIMCO Funds & Allianz Funds IRA to a PIMCO Funds & Allianz Funds Roth IRA is treated as a taxable and reportable distribution but is not subject to the 10% early distribution penalty.** Under penalties of perjury, I certify the Social Security number shown above is my taxpayer identification number and that the Internal Revenue Service has not notified me that I am subject to backup withholding.

Please follow the instructions I have provided above.

Signature

Date